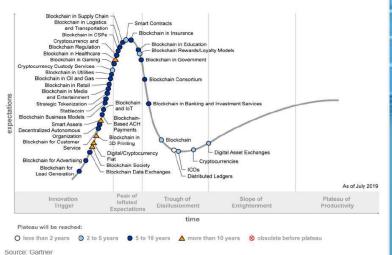
IP Protection, Licensing And Product Life Cycle Tracking Of Additive Manufactured Products

Hype Cycle for Blockchain Business, 2019 Blockchain in Supply Chain Blockchain in Supply Chain









PROSTEP

IP Protection, Licensing And Product Life Cycle Tracking Of Additive Manufactured Products

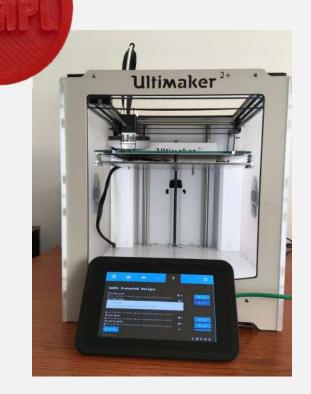
- PROSTEP AG
- Challenges 3D Printing
- Solutions
- The SAMPL Projekt: The License to Print and Life Cycle Tracking Overview and Live Demonstration

Dr. Martin Holland; Project Manager SAMPL PROSTEP AG:

VP Business Development & Strategie

Tel.: +49 511 540 58-0

Email: holland@prostep.com



PROSTEP - 100% PLM

PROSTEP

Who we are – What we offer

PROSTEP is

- an expert for PLM processes and solutions
- the leading vendor-independent PLM consultant for the discrete manufacturing industry
- 25+ years of industry background 230 PLM experts -200 customers - 12 locations
- partner of leading PLM vendors









IBM

Selected reference customers









































PROSTEP offers

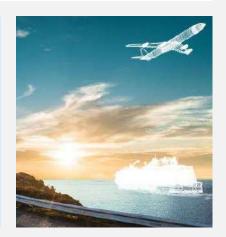
- PLM strategy consulting
- PLM concepts & solution architecture
- PLM implementation
- own products to build PLM integration & collaboration solutions











PROSTEP - 100% PLM

Consulting and Solution Portfolio

Strategy

Concepts & Solution Architecture

Implementation of IT & Process

PLM Implementation Strategy

PLM for Digital

Transformation

PLM Architecture & Processes

Benchmark & ROI-Analysis PLM Landscape & Complexity Management **Product Structure and Variant Management**

PLM for IoT/I 4.0 Solutions

Digital Master / Digital Twin

Model Based Enterprise

3D Master / Systems-Engineering

Cross-company PLM

PLM for Merger & Acquisitions

PLM for Joint Ventures

Partner & Supply Chain Integration

PLM Migration & Integration





PLM Realization and Roll-out

Bill of Material & Change Management Variant & Configuration Management Digital Master / Digital Twin

Technical Data Package

Paper-less Processes



Automated PLM Data Supply PDM & CAx Data Exchange





- **PLM System Selection**
- PLM Implementation
- **PLM Process Optimization**

PROSTER

- Digitalization
- Industry 4.0
- IoT

PLM Collaboration





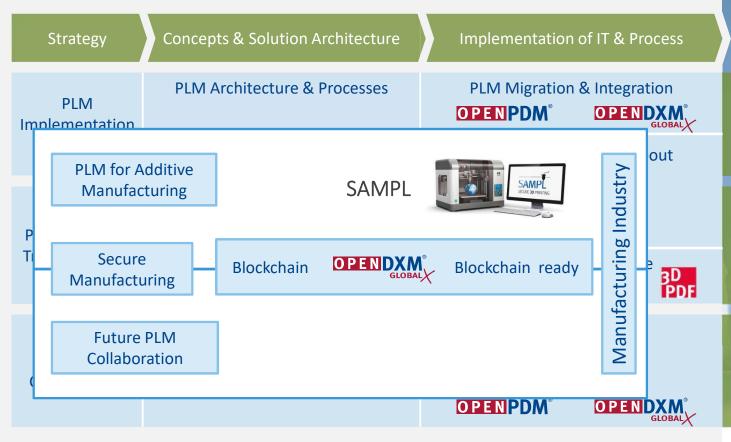
- Merger & Acquisitions
- Joint Venture
- **Project Consortia**

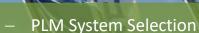


PLM for

PROSTEP - 100% PLM

Consulting and Solution Portfolio





PROSTER

- PLM Implementation
- PLM Process Optimization
- Digitalization
- Industry 4.0
- IoT
- Merger & Acquisitions
- Joint Venture
- Project Consortia

IP Protection, Licensing And Product Life Cycle Tracking Of Additive Manufactured Products

-PROSTEP

PROSTEP AG

Challenges 3D Printing

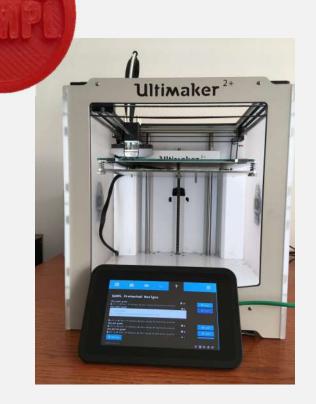
- Solubtions
- The SAMPL Projekt: The License to Print and Life Cycle Tracking Overview and Live Demonstration

Dr. Martin Holland; Project Manager SAMPL PROSTEP AG:

VP Business Development & Strategie

Tel.: +49 511 540 58-0

Email: holland@prostep.com



Challenges 3D-Printing



Copyright
Protection of intellectual property; protection against pirated copies/counterfeits; encryption of print data

Original vs. Counterfeit

Distinction between original and counterfeit; enforcement of copyrights

Liability

Warranty and product liability; defense of unjustified claims

Only authorized access; secure identification and authorization

Safety

No unapproved changes of product data; no changes of product features

The Bad News



There is no 100% Security:

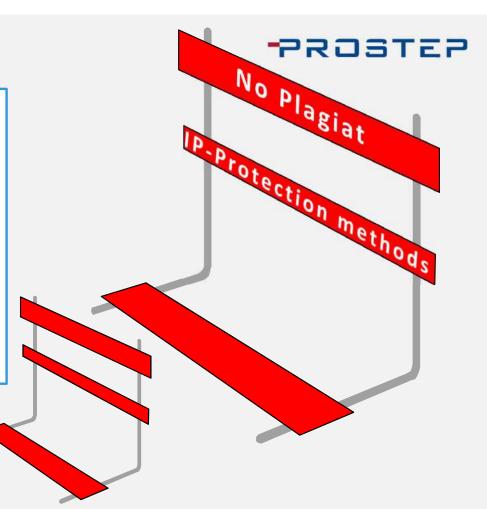
Plagiateurs take every barrier



The good News!

Live can be made hard for Plagiateurs!

- The barriers must be high!
 - as high as possible!
 - as high as necessary!
- Plagiateurs's effort must be so high, that plagiarism does not make sense!



IP Protection, Licensing And Product Life Cycle

Tracking Of Additive Manufactured Products

PROSTEP AG

Challenges 3D Printing

Solutions

 The SAMPL Projekt: The License to Print and Life Cycle Tracking Overview and Live Demonstration

Dr. Martin Holland; Project Manager SAMPL PROSTEP AG:

VP Business Development & Strategie

Tel.: +49 511 540 58-0

Email: holland@prostep.com





Options for IP-Protection



Internal Security

- Increase awareness of employees.
- Access rights
- Rights Management
- ISO 27001.... (Labelling of the print file)

Product Markings

- Visible
- Invisible
- During the printing process
- Within the post printing treatment

External Security

- Unique serial number
- Unchangeability of the data
- Secure and encrypted data transfer
- Licensing of the printing process

Legal Aspects

- Contract Management
- Copyright
- Patent protection
- Trademark protection

Product Labeling for traceability



Security labels,... holograms etc. optional combined with RFID technology....





RFIDs can be placed in the product during the printing process.

SAMPL RFID contains: unique product id and link to the blockchain





Special surface treatment for visible or unvisible fingerprints.

E.G. BarCodes printed on the product





Invisible Product Labels



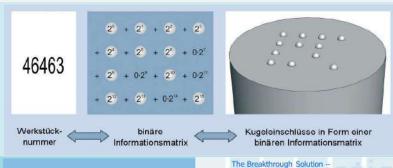
Invisible by, e.g., insertion / placement of "specific elements"
Source: Institute for Forming Technology and Forming Machines (IFUM); Hanover

> a unique pattern appears

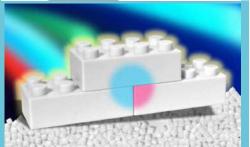
Scanning of determined surface areas if required in combination with barcodes. Creates an individual surface pattern as fingerprint Track&Trace -Fingerprint of Fraunhofer IPM

(Picture: Fraunhofer IPM)

Insertion of specific pigments which can be made visible by special light.







(Picture: BARLOG plastics)

Legal Aspects



Who owns the idea?

"An idea is a good idea when it is counterfeited" Siegfried Wache, technical drawer, aircraft technician and author

- Who is the owner?
- Who holds the rights?
- There are many aspects:

Copyright

Patent

Trademark

European Parliament

2014-2019





MOTION FOR A EUROPEAN PARLIAMENT RESOLUTION

on three-dimensional printing, a challenge in the fields of intellectual property rights

and civil liability (2017/2007(INI))

The European Parliament,

P8 TA(2018)0274

Three-dimensional printing: intellectual property rights and civil liability

European Parliament resolution of 3 July 2018 on three-dimensional printing, a challenge in the fields of intellectual property rights and civil liability (2017/2007(INI))

Contractual Framework

Deception of Origin

Injunctive Relief

Registered Design

Product Design

3D-Trademark

3D-Trademark

PROSTEP

3D-Trademark includes 3-D shape





Disruptive IT-Innovations



Internet

Decentralisation and **Transfer** of **Information**

Easy to manipulate
Which information is correct?
Which information belongs to whom?
Business models based on Information

Blockchain DLT

Decentralisation and Transfer of Values

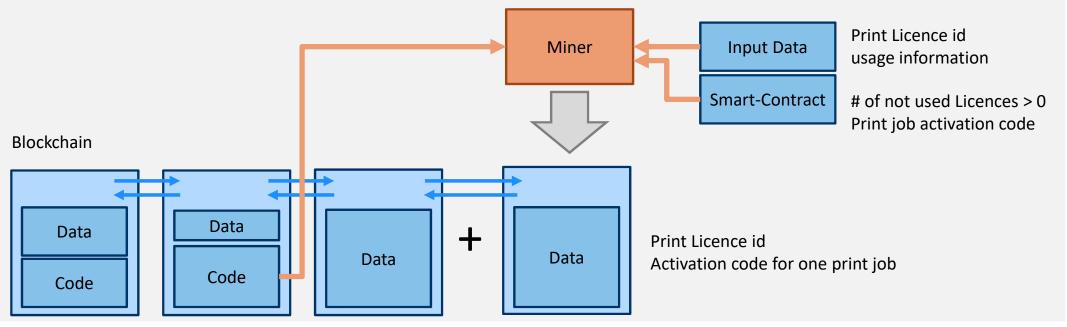
Immutability of information/values
Implementation of business processes
business models based on
values/transactions



Further concepts: Smart Contracts



- Complex Rules can be documented in the Blockchain by means of Smart Contracts.
- In the Blockchain not only transactin data but also program code is documented
- This program code can be executed in order to convert input data into Transaction Data
 - E.g. a lease car can only be moved, if the last rate was paid
 - E.g. a print job can only be started if a licence is available



Significant Aspects of the Blockchain



Transactions are documented unchangeable with time stamp in the distributed network;
Supports legal proof

Consensus mechanism on status and content. PoX, BFT

51% of Attack: Changes are possible

Intermediary is not required
Direct connection between the contracting parties (P2P)

Business processes can be automated via smart contracts and thus are substantially faster

Basic infrastructure is available: fast implementation of applications; reduced harmonization effort

Wallet id Maker: 0x01f488F7CEA28e02F1B357D78C5518cBa69**24085**

Participants are pseudonymised (not anonymous)

With the extreme effort, transaction partners and, thus, information can be identified in the network.

Information and participants can be hided

Information is stored decentrally All members use the same database

Information can be seen by the users

The transaction volume itself is still small Bitcoin: 7 Tx/sec vs. VISA 10.000 Tx/sec, paypal 450Tx /s

Presentable volume of data is low (Avg. block 30 KB Eth) High data quantity can be linked with blockchain via hashcode

Hash printfile:3565075702110219203115953243464229894211917 743164477174002135091050223950**5610**

Change of Business Models



- "Earn as you ride" Continental "Earn as you drive" Jaguar
 - Customer releases information to OEM/platform (weather, parking place data, road condition)
 - Customer directly receives credits in form of virtual coins for the information exchange
 - Coins can be used for service offers or tools



- https://www.jaguarlandrover.com/news/2019/04/money-earn-you-drive-jaguar-land-rover
- https://www.car-it.com/continental-zeigt-neue-blockchain-plattform/id-0064837

Austausch von Fahrzeugdaten

Continental zeigt neue Blockchain-Plattform

27. August 2019 | Autor: Claas Berlin | Geschätzte Lesezeit: 2 Minuten



New



German Goverment releases Blockchain Strategy

18. September 2019

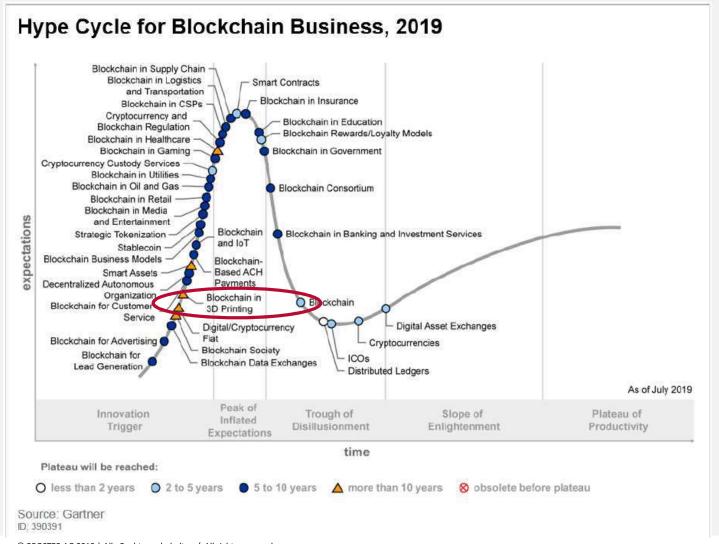
- Die Bundesregierung wird bis Ende 2021 Maßnahmen in folgenden fünf Handlungsfeldern ergreifen, um die Chancen der Blockchain-Technologie zu nutzen und ihre Potenziale zu mobilisieren.
- Stabilität sichern und Innovationen stimulieren: Blockchain im Finanzsektor
 Die Bundesregierung will das deutsche Recht für elektronische Wertpapiere öffnen
- Innovationen ausreifen: Förderung von Projekten und Reallaboren
 Die Bundesregierung untersucht, ob und wie der Einsatz von Blockchain-Technologie zur Transparenz in Lieferund Wertschöpfungsketten beitragen kann SAMPL Projekt
- Investitionen ermöglichen: Klare, verlässliche Rahmenbedingungen
 Die Bundesregierung wird einen Round Table zum Thema Blockchain und Datenschutz durchführen.
- Technologie anwenden: Digitale Verwaltungsdienstleistungen Die Bundesregierung pilotiert Blockchain-basierte digitale Identitäten und evaluiert geeignete weitere Anwendungen.
- Informationen verbreiten: Wissen, Vernetzung und Zusammenarbeit Die Bundesregierung wird eine Dialogreihe zur Blockchain-Technologie durchführen.
- 44 Actions were defined



Blockchain-Strategie der Bundesregierung

Wir stellen die Weichen für die Token-Ökonomie





Gartner:

Blockchain will have a transformational impact across industries in five to ten years

When does Blockchain makes sense? 5 out of 7 for 3D Printing



Multiple Parties Multiple participants need views on common information share Data Multiple parties Multiple participants perform actions (change, update,...) that need to be recorded update data Decentralized A centralised data storage is not required/desired network Requirement for Participants need to trust that the transactions are valid, unchanged and verification transactions are documented Processes/transactions created by different participants depend on each other. Transactions interact (Smart Contracts support these concepts) Interactions are Reduced transaction time benefits business process time sensitive Intermediaries Removal of intermediaries can reduce cost and complexity. add complexity A trusted intermediary is not required.



OPENDXMBlockchain Ready





OpenDXMGlobalX: Blockchain-Integration bringing together the best of two worlds:

High Secure Transfer of large files &

Secure documentation and smart contracts

OpenDXM GlobalX on a page

PROSTEP

Secure data exchange for all B2B processes in all divisions



Managed-File-Transfer (MFT) solution

All divisions



All-over data security

Real end to end encryption

Enforcement of corporate compliance



Integrated process engine
Fully automated file processing
Application-to-application (A2A)

OpenDXM GlobalX

PROSTEP

Main features



Flexibility

Versatile user interfaces & flexible software



Security

Adjustable security levels



Data transfer

High volume, robust, high performance



Processing

Process engine for data processing



Automation

Robots and Gateways for transfer automation



Integration

Back-end system integration



Communication

Status notifications and E-Mail body



Documentation

Documentation for users & administrators



Reports

Research, KPI's, automated reports



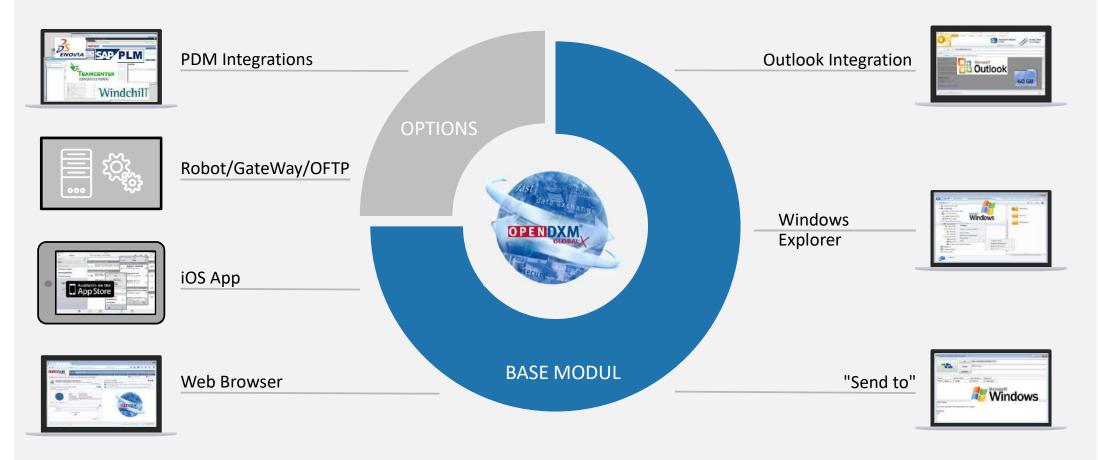
Scalability

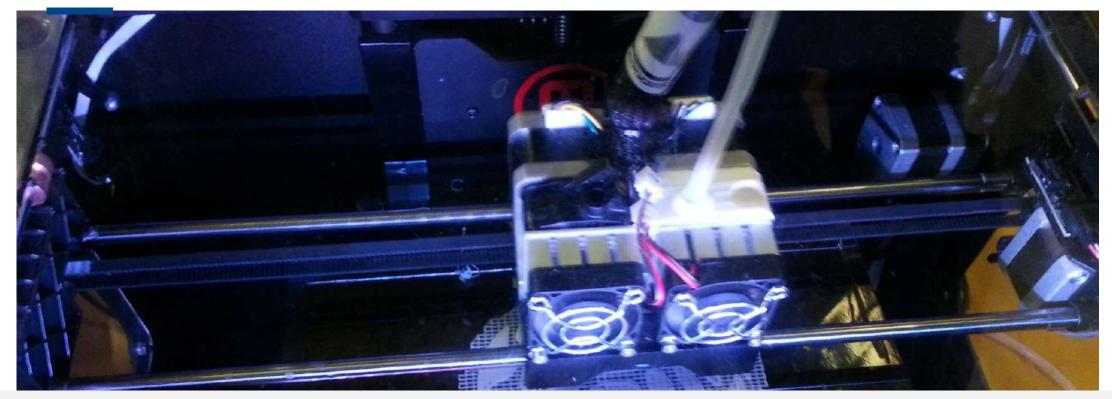
Flexible software & license model

Client Applications

PROSTEP

Versatile user interfaces





SAMPL THE LICENSE TO PRINT

SECURE ADDITIVE MANUFACTURING PLATFORM

Gefördert durch:



Bundesministerium für Wirtschaft und Energie

aufgrund eines Beschlusses des Deutschen Bundestages

SAMPL Project on a page Secure Additive Manufacturing Platform (www.SAMPL-3D.de)

SECURE 3D PRINTING

Challenges

- Copy- and product liability law
- Distinction of original parts from counterfeits
- Support licensing and printing rights
- Support of new value added and business models

Objectives

- Trusted 3D printers and Chain of Trust
- Marking and traceability by RFID-chips
- Development of a reference platform
- Blockchain-Technology for the supply of licence information





Partner





















-PROSTEP

Term: Nov 1st, 2016 - Oct 31th, 2019 Project leader: PROSTEP AG: Volume: ca. 4 mio €

Contact Person: Dr. Martin Holland; holland@prostep.com; 0511-54058100



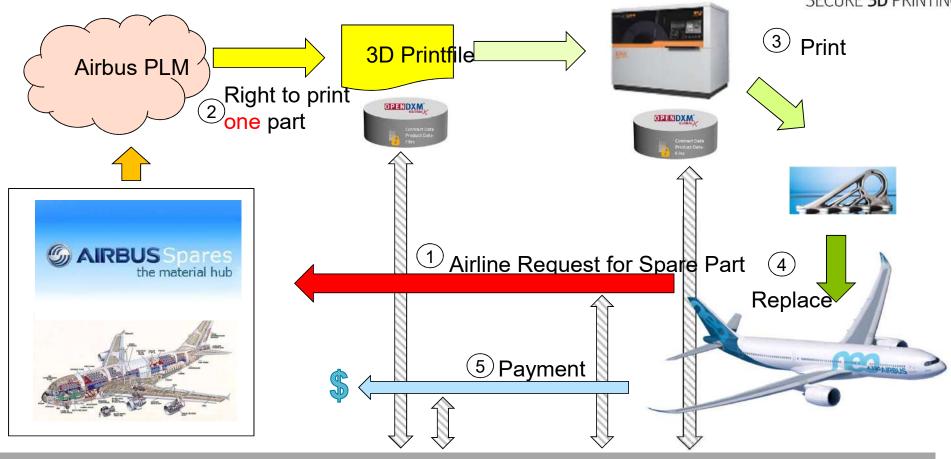


on the basis of a decision by the German Bundestag

Airbus Spares and the SAMPL Process

Sell the Right to print a spare part local to required destination





3D Blockchain Licence Data / Smart Contracts



Variant 1: OPENDXM® Blockchain ready! Cloud Platform



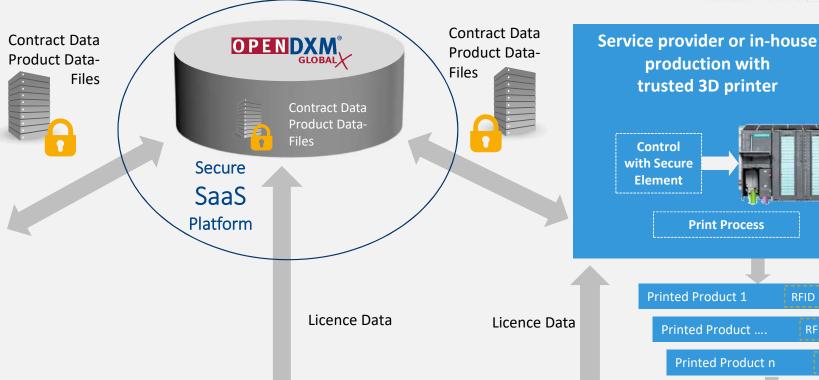
Manufacturer/ **Copyright Owner**

(Airbus/Evobus)

supply of product data

> Initiates print job

> > Licence Data



3D Blockchain Licence Data / Smart Contracts

RFID 1

RFID

RFID n

Variant 2: OPENDXM Blockchain ready! Private Platform



Private **Data Exchange Platforms**



Manufacturer/ **Copyright Owner (Airbus)** Supply of

Product Data

Initiates **Print Job**



Licence Data



Contract Data Product Data-Files

Contract Data Product Data-Files

Service provider or in-house production with trusted 3D printer

Control with Secure **Element**

Licence Data



RFID 1

RFID

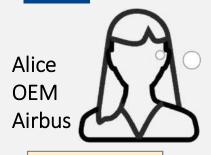
RFID n

Printed Product 1 Printed Product

Printed Product n

3D Blockchain Licence Data / Smart Contracts

Licence Data









Licence: ID Alice Bob Part001.prn 4

Licence number (created by Alice) 4711-A4-58572

Wallet-Address of Alice (Public Key)

Jc045218w23ßKXuyztr8ldf42db66c8d84269d4b7157b92a4

Wallet-Address of Bob (Public Key)

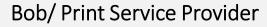
We8ßcvxxüy9u34PH9ivJc045218w23ßKXuyztröldf42db66

Hash-Key of Part001.prn

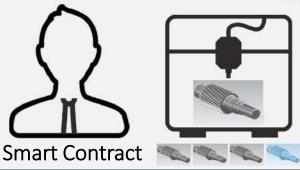
144thdf4sfgf2db66fn6hncrggfc8d84269d4b7157b92a87be7

Number of licensed components

4







3D-Print Licence

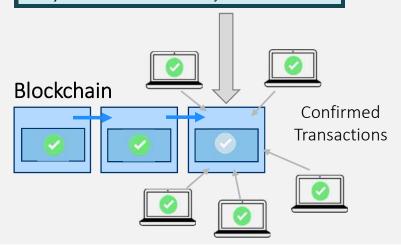
4711-A4-58572

pvinoi M3498 vmv 945 dmc 8u39 s98 vp8 w349 fa 98938 uz587 b

4

Licence created by Alice

NzudjJK%VBt5hJc045218w23ßKXuyztr8ldf42db66c8d842

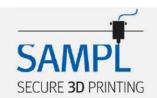


Smart Contract for Licence availability



```
* Use one license for one item to print.
* This is a transcational method.
* The method checks if at least one licence is available for the combination of
* (address of caller, id, fileHash). If yes, then the count of available licences is decremented.
* id: license id
* fileHash: SHA-256 hash of the file to print
*/
function useLicense(uint256 id, uint256 fileHash) public {
          useLicense(id, fileHash); }
* Check the file hash for the given license id.
* This is a constant method.
* id: license id
* fileHash: SHA-256 hash of the file to print
* returns: true if fileHash matches the hash stored in the contract.
*/
```

SAMPL Demonstrator @ HMI 2017, 2018 and 2019



- Demonstration along the process chain:
 - 1. Version (HMI 2017): Blockchain Licensing; central wallets
 - 2. Version (HMI 2018): distributed Wallets, imprintment of NFC Chips
 - 3. Version (HMI 2019): Increased security by Hardware Wallets and additional encryption, improved User-Interface



- A clear milestone provided a clear goal for the team
- additional customer insights and interest to participate
- good media coverage
- Further Steps:
 - Integration of additional Partners
 - Development of a Demonstrator 2.0 with new functionality
 - Set up of a "SAMPL "consortium" Blockchain (based on Ethereum)
 - Set up of an interest Group: Interfaces for additive manufacturing

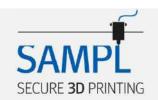








SAMPL Demonstrator @ HMI 2017, 2019 and 2019



We are looking for Implementation

Partner

- good media coverage
- Further Steps:
 - Integration of additional Partners
 - Development of a Demonstrator 2.0 with new functionality
 - Set up of a "SAMPL "consortium" Blockchain (based on Ethereum)
 - Set up of an interest Group: Interfaces for additive manufacturing





Mars Rover Use Case based on OPENDXM® Blockchain ready!

PROSTEP

MARS Rover Use Case

Mars Rover has sensors which are IOT devices

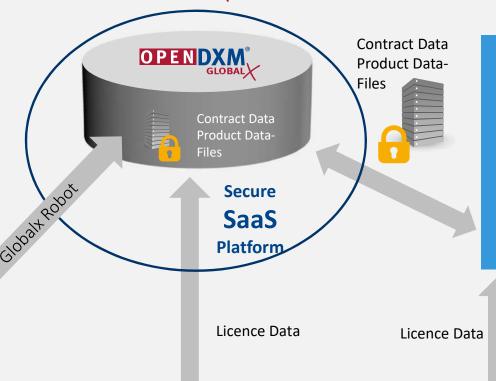
Sensors register eg. stress

Sensor data is monitored by the controll center based on thingworx

When data is above a specific level a spare part ordering process is initiated

thingworx copies the print file with additional order information in a folder which is controlled by a GlobalX robot

GlobalX uploads the file and generates the Licence data in the blockchain



Service provider or in-house production with trusted 3D printer **Control** with Secure Element **Print Process Printed Product 1** RFID 1

Printed Product **RFID**

Printed Product n

3D Blockchain Licence Data / Smart Contracts

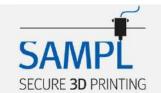
RFID n

SAMPL Blockchain Status





Blockscout

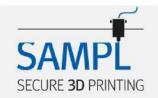


https://goerli.etherscan.io/tx/0xd47f1ad8a97a7a9ca5d99b221fbe7b4536d132636c428698cef658d7f63a9cc7

Etherscan Goerli Testnet Network		All Filters ~	Search by Add
Transaction Details			
Sponsored: Web3 World Virtual Ha	ackathon Win cryptocurrency prizes building the future of the	web Register today	D
Overview Event Logs (1)	State Changes New		
[This is a Goerli Testnet transaction	only]		
⑦ Transaction Hash:	0xd47f1ad8a97a7a9ca5d99b221fbe7b4536d132	636c428698cef658d7	f63a9cc7 🗓
③ Status:	Success ■ Success		
③ Block:	1561621 1845 Block Confirmations		
③ Timestamp:	⊙ 7 hrs 46 mins ago (Oct-30-2019 01:45:35 PM +	UTC)	
③ From:	0x8a3d90c1764b111c5af2161aab33072c55d7174	7 C	
⑦ To:	Contract 0x18ebdec8184193730e0bb38a0f4fddcc	:010acaf4 🕢 🗓	

10.2019, Dr. Holland

Blockscout



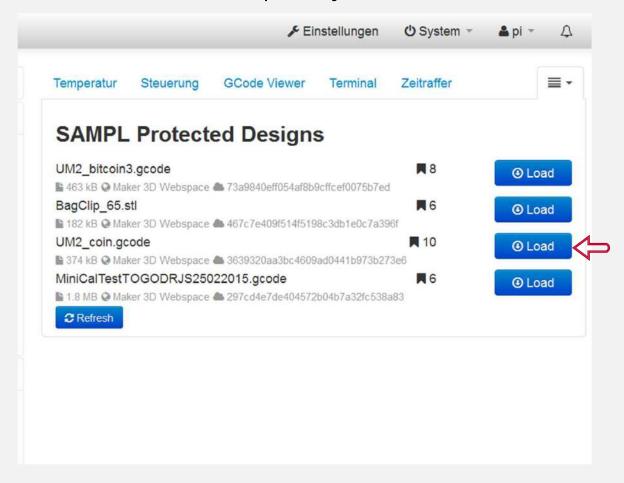
https://goerli.etherscan.io/tx/0xd47f1ad8a97a7a9ca5d99b221fbe7b4536d132636c428698cef658d7f63a9cc7

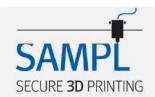
⑦ To:	Contract 0x18ebdec8184193730e0bb38a0f4fddcc010acaf4	Contract 0x18ebdec8184193730e0bb38a0f4fddcc010acaf4		
∀alue:	0 Ether (\$0.00)			
⑦ Transaction Fee:	0.002475942 Ether (\$0.000000)			
③ Gas Limit:	940,000			
③ Gas Used by Transaction:	117,902 (12.54%)			
	0.000000021 Ether (21 Gwei)			
? Nonce Position	318 1			
③ Input Data:	Function: grantLicense(uint256 id, address from, address to, uint256 fi MethodID: 0x7df86031 [0]: 00000000000000000000000000000000000	Licence id Licensor Liceencee / Print Farm File hash # of Licences		

10.2019, Dr. Holland

Process on the printer side

List of all available print jobs

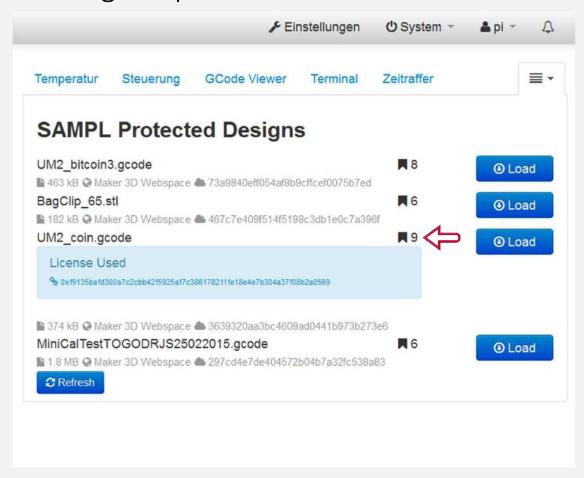


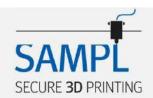


- The printer server (here Octoprint) shows all in Maker 3D's Webspace available file which have at least one unused licence.
- Operator selects the print file.

Process on the printer side

Loading the print file

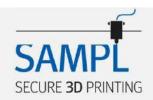


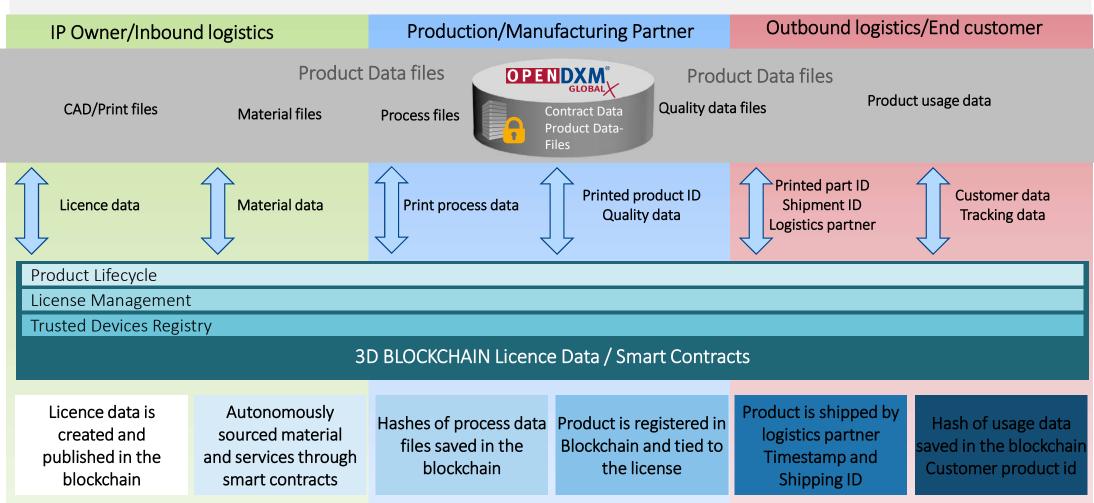


- Octoprint downloads the file from GlobalX.
- The correctness of the print file is checked by recalculating the SHA-256 Hash code.
- After initiating the print process one licence is used and documented as a transaction in the Blockchain.

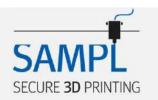
10.2019, Dr. Holland

SAMPL: overall Process Chain

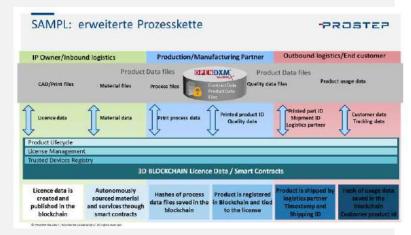


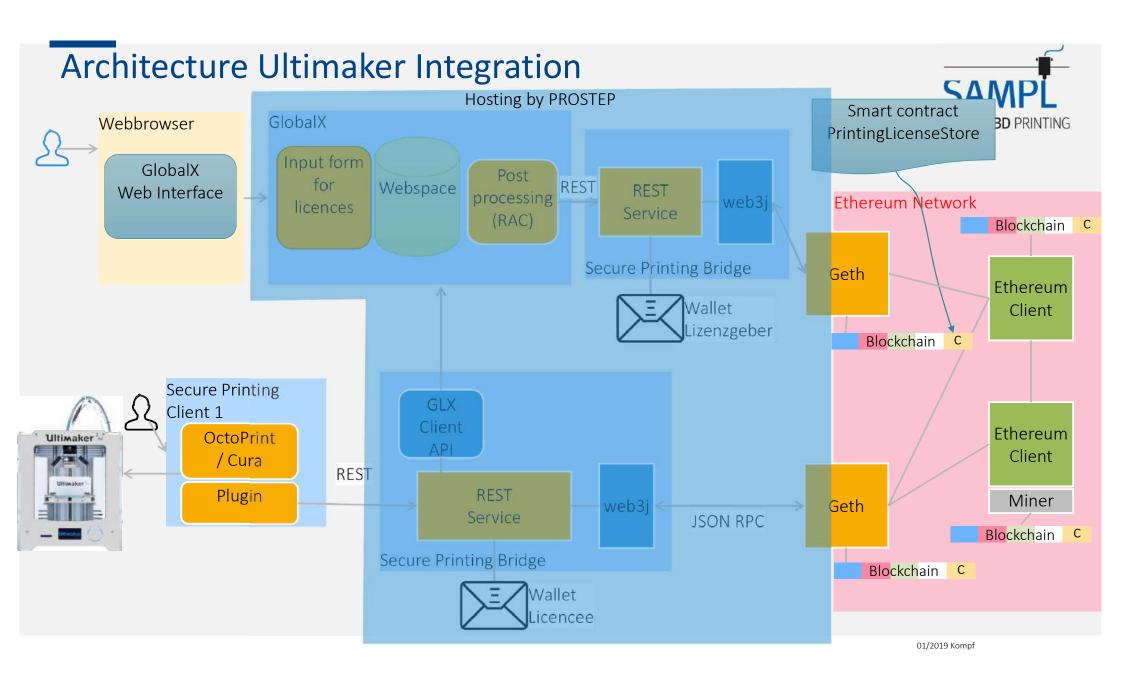


SAMPL-Industrialisation



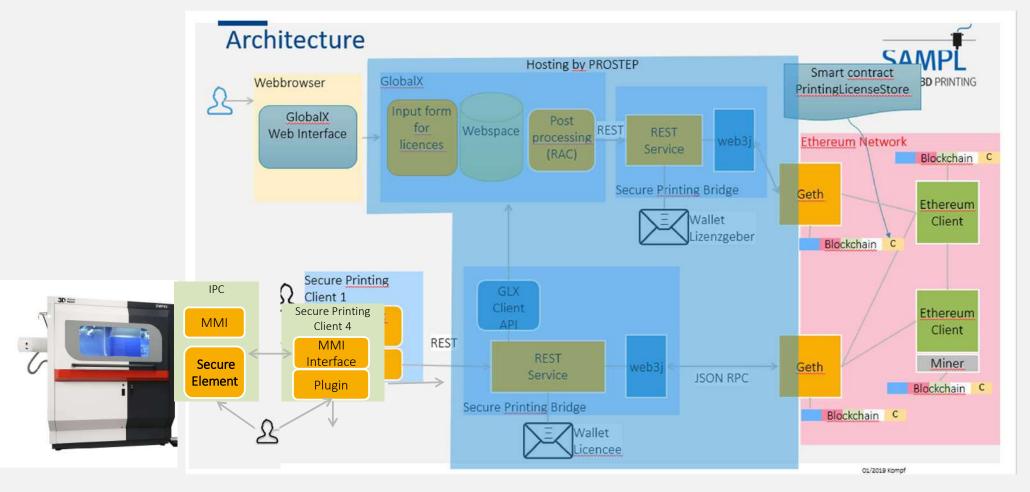
- PLM for the Additive Process Chain
 - For an integrated Process Chain 3D-Druck a suitable PLM Solution must be implemented.
 - PROSTEP has more than 20 Years of PLM Experience
- **OPENDXM** Blockchain ready!
 - also for other Use Cases; Software update for Cars; CAD-Files with related usage rights
- Blockchain Start-up Workshop



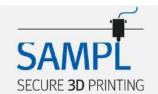


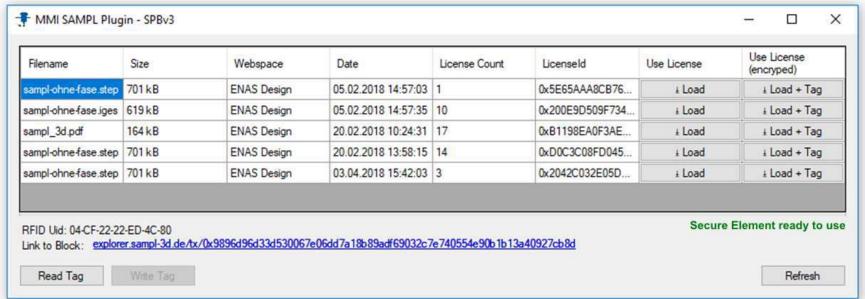
Architecture 3D-Microprint Integration





SAMPL Integration with MMI-Plugin





Download Print File from GlobalX MMI SAMPL Plugin

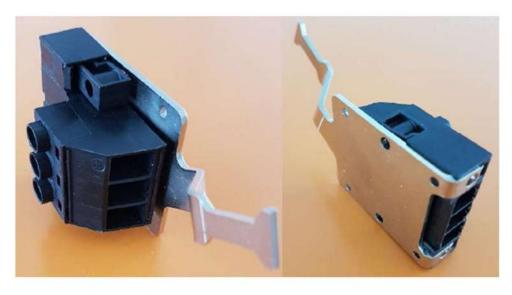


MMI Plugin on Machine M308:

- Integration of Secure Elements (Wallet, Keys)
- Download Job File and Licence Usage
- Notarisation in the Blockchain
- Decryption of the job; evaluation of file hash
- Communication with MMI
- Load Job in controler unit ans start job
- RFID Integration

Weidmüller 3E

Weidmüller Sample for SAMPL





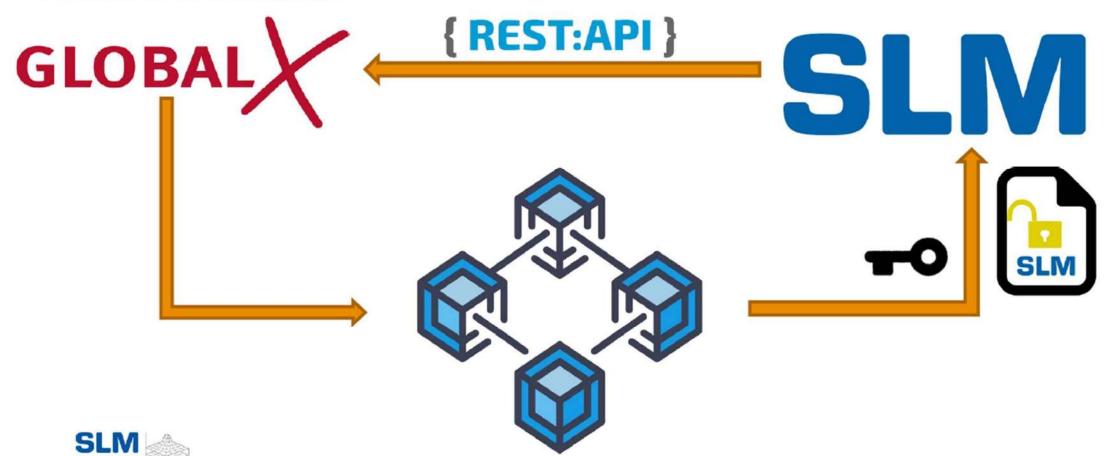
EMC-Shielding for Power Connectors

SAMPL Architecture Variant 1: GlobalX hosted by PROSTEP SECURE 3D PRINTING Weidmüller ₹ **CUSTOMER** PLM/ERP Customer initiates order process exports data to folder Controler **Existing SAMPL Infrastructure** Interface to SPB OPENDXM® GLOBAL Secure Printing (to be develoed) **Product** Bridge (SPB) GlobalX robot Contract Data **REST** services Product **Printed** Data-Files Licence Data Licence Data

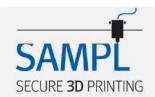
3D Blockchain Licence Data / Smart Contracts

Weidmüller ₹

License from Blockchain



Benefits of the SAMPL Platform



Printer Manufacturer Key differentiator "trusted" 3D printer; Integration of block chain and Secure Elements; competitive advantage

Owner of IP Rights

IP security, prevention of pirated copies, enforceable rights, traceability of use, pay-by-use billing

OEM

Secure on-demand-production, reduction of storage and transport costs, lower capital investment, insurance of quality, optimized spare parts business

Print Service Provider "Trusted" Print Center by means of "trusted" 3D-printers, support of quality control, legal certainty and competitive advantage

End User

Verifiable authenticity, protection against design manipulation, precise and secure billing, confidence in the product, advantages in case of warranty claims

SAMPL Ecosystem for secure 3D-Printing Join Now! Generate Value Together!





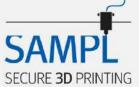
Manufacturing Companies



Print Farm











Addit ve Mark ng Added Value Services

Dr. Martin Holland; Project Manger SAMPL Project VP PROSTEP AG:

Business Development & Strategy Tel.: +49 511 540 58-0

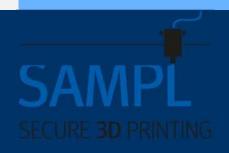
Email: holland@prostep.com





Mars Rover Operation · Condition Based Maintenance · Spare Part Supply





3D Blockchain Licence Data / Smart Contracts